# Using *clusterProfiler* to identify and compare functional profiles of gene lists

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# **1** Introduction

In recently years, high-throughput experimental techniques such as microarray, RNA-Seq and mass spectrometry can detect cellular moleculars at systems-level. These kinds of analyses generate huge quantitaties of data, which need to be

given a biological interpretation. A commonly used approach is via clustering in the gene dimension for grouping different genes based on their similarities [1].

To search for shared functions among genes, a common way is to incorporate the biological knowledge, such as Gene Ontology (GO) and Kyoto Encyclopedia of genes and Genomes (KEGG), for identifying predominant biological themes of a collection of genes.

After clustering analysis, researchers not only want to determine whether there is a common theme of a particular gene cluster, but also to compare the biological themes among gene clusters. The manual step to choose interesting clusters followed by enrichment analysis on each selected cluster is slow and tedious. To bridge this gap, we designed *clusterProfiler* [2], for comparing and visualizing functional profiles among gene clusters.

# 2 Citation

Please cite the following articles when using *clusterProfiler*.

G Yu, LG Wang, Y Han, QY He. clusterProfiler: an R package for comparing biological themes among gene clusters. *OMICS: A Journal of Integrative Biology*. 2012, 16(5), 284-287.

## **3 Gene Ontology Classification**

In *clusterProfiler*, groupGD is designed for gene classification based on GO distribution at a specific level.

```
require(DOSE)
data(geneList)
gene <- names(geneList)[abs(geneList) > 2]
head(gene)
## [1] "4312" "8318" "10874" "55143" "55388" "991"
ggo <- groupGO(gene = gene, organism = "human", ont = "BP",
    level = 3, readable = TRUE)
head(summary(ggo))
##
                      ID
                                                        Description Count
## GD:0019953 GD:0019953
                                               sexual reproduction
                                                                        9
                                               asexual reproduction
## GD:0019954 GD:0019954
                                                                        0
## GD:0022414 GD:0022414
                                               reproductive process
                                                                       19
```

```
## GD:0032504 GD:0032504
                               multicellular organism reproduction
                                                                        10
## G0:0032505 G0:0032505 reproduction of a single-celled organism
                                                                         0
## G0:0048610 G0:0048610 cellular process involved in reproduction
                                                                         8
##
## GD:0019953
                                                                            ASPM/CDK1
## GD:0019954
## G0:0022414 ASPM/CDK1/TRIP13/ID01/CCNB1/CSN3/PTTG1/COL16A1/DACH1/CORIN/GAMT/BMP4/
                                                                        ASPM/TRIP13/C
## GD:0032504
## GD:0032505
## GD:0048610
                                                                                CDC20
```

#### **Enrichment Analysis** 4

#### 4.1 Hypergeometric model

Enrichment analysis [3] is a widely used approach to identify biological themes. Here we implement hypergeometric model to assess whether the number of selected genes associated with disease is larger than expected.

To determine whether any terms annotate a specified list of genes at frequency greater than that would be expected by chance, *clusterProfiler* calculates a pvalue using the hypergeometric distribution:

$$p = 1 - \sum_{i=0}^{k-1} \frac{\binom{M}{i}\binom{N-M}{n-i}}{\binom{N}{n}}$$

In this equation, N is the total number of genes in the background distribution, M is the number of genes within that distribution that are annotated (either directly or indirectly) to the node of interest, n is the size of the list of genes of interest and k is the number of genes within that list which are annotated to the node. The background distribution by default is all the genes that have annotation.

P-values were adjusted for multiple comparison, and q-values were also calculated for FDR control.

#### 4.2 GO enrichment analysis

```
ego <- enrichGO(gene = gene, universe = names(geneList),
    organism = "human", ont = "CC", pvalueCutoff = 0.01,
    readable = TRUE)
head(summary(ego))
##
                       TD
                                                        Description GeneRatio
## GD:0005819 GD:0005819
                                                            spindle
```

23/194

```
## GD:0015630 GD:0015630
                                        microtubule cytoskeleton
                                                                    37/194
## GD:0000793 GD:0000793
                                            condensed chromosome
                                                                    16/194
## GD:0000779 GD:0000779 condensed chromosome, centromeric region
                                                                    12/194
## GD:0000777 GD:0000777
                             condensed chromosome kinetochore
                                                                    11/194
## GD:0044430 GD:0044430
                                               cytoskeletal part
                                                                    41/194
               BgRatio pvalue p.adjust qvalue
##
## GD:0005819 202/11815 2.11e-13 1.71e-11 1.09e-11
## GD:0015630 692/11815 1.29e-10 5.24e-09 3.34e-09
## GD:0000793 136/11815 7.00e-10 1.89e-08 1.20e-08
## GD:0000779 69/11815 1.06e-09 2.15e-08 1.37e-08
## GD:0000777 66/11815 8.61e-09 1.15e-07 7.35e-08
## GD:0044430 960/11815 9.50e-09 1.15e-07 7.35e-08
##
## GD:0005819
## GD:0015630
                                     KIF20A/TACC3/CENPE/CHEK1/KIF18B/SKA1/TPX2/NCA
## GD:0000793
## GD:0000779
## GD:0000777
## GO:0044430 KIF20A/TACC3/CENPE/CHEK1/KIF18B/SKA1/TPX2/PSD3/KIF4A/ASPM/AK5/BIRC5/K
##
             Count
## GD:0005819
                23
## GD:0015630
                37
## GD:0000793
                16
## GD:0000779
                12
## GD:0000777
                11
## GD:0044430
               41
```

#### 4.3 KEGG pathway enrichment analysis

```
kk <- enrichKEGG(gene = gene, organism = "human", pvalueCutoff = 0.01,
      readable = TRUE)
head(summary(kk))
```

```
Description GeneRatio BgRatio
##
                 ID
## hsa04110 hsa04110
                                                Cell cycle 11/74 128/5894
## hsa04114 hsa04114
                                            Oocyte meiosis
                                                              10/74 114/5894
                                    PPAR signaling pathway
## hsa03320 hsa03320
                                                              7/74 70/5894
## hsa04914 hsa04914 Progesterone-mediated oocyte maturation
                                                              6/74 87/5894
                              Chemokine signaling pathway
## hsa04062 hsa04062
                                                              8/74 189/5894
## hsa04060 hsa04060 Cytokine-cytokine receptor interaction 9/74 265/5894
##
             pvalue p.adjust qvalue
## hsa04110 4.31e-07 3.02e-06 4.54e-07
## hsa04114 1.25e-06 4.38e-06 6.59e-07
## hsa03320 2.35e-05 5.49e-05 8.25e-06
## hsa04914 7.21e-04 1.26e-03 1.90e-04
```

```
## hsa04062 2.37e-03 3.32e-03 5.00e-04
## hsa04060 5.58e-03 6.51e-03 9.79e-04
##
                                                                      geneID Count
## hsa04110 CDC45/CDC20/CCNB2/CCNA2/CDK1/MAD2L1/TTK/CHEK1/CCNB1/MCM5/PTTG1
                                                                                11
## hsa04114
                CDC20/CCNB2/CDK1/MAD2L1/CALML5/AURKA/CCNB1/PTTG1/ITPR1/PGR
                                                                                10
## hsa03320
                                 MMP1/FADS2/ADIPOQ/PCK1/FABP4/HMGCS2/PLIN1
                                                                                 7
## hsa04914
                                          CCNB2/CCNA2/CDK1/MAD2L1/CCNB1/PGR
                                                                                 6
## hsa04062
                       CXCL10/CXCL13/CXCL11/CXCL9/CCL18/CCL8/CXCL14/CX3CR1
                                                                                 8
                 CXCL10/CXCL13/CXCL11/CXCL9/CCL18/IL1R2/CCL8/CXCL14/CX3CR1
## hsa04060
                                                                                 9
```

## 4.4 DO enrichment analysis

Disease Ontology (DO) enrichment analysis is implemented in *DOSE*, please refer to the package vignettes. The enrichDO function is very useful for identifying disease association of interesting genes.

## 4.5 Reactome pathway enrichment analysis

With the demise of KEGG (at least without subscription), the KEGG pathway data in Bioconductor will not update and we encourage user to analyze pathway using *ReactomePA* which use Reactome as a source of pathway data. The function call of enrichPathway in *ReactomePA* is consistent with enrichKEGG.

## 4.6 Function call

The function calls of groupGO, enrichGO, enrichKEGG, enrichDO and enrichPathway are consistent. The input parameters of *gene* is a vector of entrezgene (for human and mouse) or ORF (for yeast) IDs, and *organism* should be supported species (please refer to the manual of the specific function).

For GO analysis, *ont* must be assigned to one of "BP", "MF", and "CC" for biological process, molecular function and cellular component, respectively. In groupGO, the *level* specify the GO level for gene projection.

In enrichment analysis, the *pvalueCutoff* is to restrict the result based on their pvalues and the adjusted p values. *Q-values* were also calculated for controlling false discovery rate (FDR).

The *readable* is a logical parameter to indicate the input gene IDs will map to gene symbols or not.

## 4.7 Visualization

The output of groupGO, enrichGO and enrichKEGG can be visualized by bar plot and category-gene-network plot. It is very common to visualize the enrichment result

in bar or pie chart. We believe the pie chart is misleading and only provide bar chart.

#### 4.7.1 barplot

```
barplot(ggo, drop = TRUE, showCategory = 12)
```



barplot(ego, showCategory = 8)



#### 4.7.2 cnetplot

In order to consider the potentially biological complexities in which a gene may belong to multiple annotation categories and provide information of numeric changes if available, we developed cnetplot function to extract the complex association.

cnetplot(ego, categorySize = "pvalue", foldChange = geneList)



cnetplot(kk, categorySize = "geneNum", foldChange = geneList)



#### 4.7.3 pathview from pathview package

*clusterProfiler* users can also use pathview from the *pathview* [4] to visualize KEGG pathway.

The following example illustrate how to visualize "hsa04110" pathway, which was enriched in our previous analysis.

```
require(pathview)
hsa04110 <- pathview(gene.data = geneList, pathway.id = "hsa04110",
    species = "hsa", limit = list(gene = max(abs(geneList)),
        cpd = 1))
## [1] "Downloading xml files for hsa04110, 1/1 pathways.."
## [1] "Downloading png files for hsa04110, 1/1 pathways.."</pre>
```

## Working in directory /tmp/RtmpIcPvXQ/Rbuild266d61d64c17/clusterProfiler/vignet
## Writing image file hsa04110.pathview.png



Figure 1: visualize KEGG pathway using pathview

For further information, please refer to the vignette of *pathview* [4].

# 5 Biological theme comparison

*clusterProfiler* was developed for biological theme comparison, and it provides a function, *compareCluster*, to automatically calculate enriched functional categories of each gene clusters.

```
data(gcSample)
ck <- compareCluster(geneCluster = gcSample, fun = "enrichKEGG")
plot(ck)</pre>
```



By default, only top 5 (most significant) categories of each cluster was plotted. User can changes the parameter *showCategory* to specify how many categories of each cluster to be plotted, and if *showCategory* was set to *NULL*, the whole result will be plotted.

The dot sizes were based on their corresponding row percentage by default, and user can set the parameter *by* to "count" to make the comparison based on gene counts. We choose "percentage" as default parameter to represent the size of dots, since some categories may contain a large number of genes, and make the dot sizes of those small categories too small to compare. To provide the full information, we also provide number of identified genes in each category (numbers in parentheses), as shown in Figure 3. If the dot sizes were based on "count", the row numbers will not shown.

The p-values indicate that which categories are more likely to have biological meanings. The dots in the plot are color-coded based on their corresponding p-values. Color gradient ranging from red to blue correspond to in order of increasing p-values. That is, red indicate low p-values (high enrichment), and blue indicate high p-values (low enrichment). P-values and adjusted p-values were

filtered out by the threshold giving by parameter *pvalueCutoff*, and FDR can be estimated by *qvalue*.

User can refer to the example in [2]; we analyzed the publicly available expression dataset of breast tumour tissues from 200 patients (GSE11121, Gene Expression Omnibus) [5]. We identified 8 gene clusters from differentially expressed genes, and using compareCluster to compare these gene clusters by their enriched biological process.

Another example was shown in [6], we calculated functional similarities among viral miRNAs using method described in [7], and compared significant KEGG pathways regulated by different viruses using compareCluster.

The comparison function was designed as a general-package for comparing gene clusters of any kind of ontology associations, not only groupGO, enrichGO, and enrichKEGG this package provided, but also other biological and biomedical ontologies, for instance, enrichDO from *DOSE* and enrichPathway from *ReactomePA* work fine with compareCluster for comparing biological themes in disease and reactome pathway perspective. More details can be found in the vignettes of *DOSE* and *ReactomePA*.

# 6 Session Information

The version number of R and packages loaded for generating the vignette were:

- R version 3.0.2 (2013-09-25), x86\_64-pc-linux-gnu
- Locale: LC\_CTYPE=en\_US.UTF-8, LC\_NUMERIC=C, LC\_TIME=en\_US.UTF-8, LC\_COLLATE=C, LC\_MONETARY=en\_US.UTF-8, LC\_MESSAGES=en\_US.UTF-8, LC\_PAPER=en\_US.UTF-8, LC\_NAME=C, LC\_ADDRESS=C, LC\_TELEPHONE=C, LC\_MEASUREMENT=en\_US.UTF-8, LC\_IDENTIFICATION=C
- Base packages: base, datasets, grDevices, graphics, methods, parallel, stats, utils
- Other packages: AnnotationDbi<sup>~</sup>1.24.0, Biobase<sup>~</sup>2.22.0, BiocGenerics<sup>~</sup>0.8.0, DBI<sup>~</sup>0.2-7, DOSE<sup>~</sup>2.0.0, GO.db<sup>~</sup>2.10.1, KEGGgraph<sup>~</sup>1.20.0, RSQLite<sup>~</sup>0.11.4, XML<sup>~</sup>3.98-1.1, clusterProfiler<sup>~</sup>1.11.1, ggplot2<sup>~</sup>0.9.3.1, graph<sup>~</sup>1.40.0, knitr<sup>~</sup>1.5, org.Hs.eg.db<sup>~</sup>2.10.1, pathview<sup>~</sup>1.2.0
- Loaded via a namespace (and not attached): Biostrings<sup>2</sup>2.30.0, DO.db<sup>2</sup>2.7, GOSemSim<sup>1</sup>.20.0, IRanges<sup>1</sup>.20.5, KEGG.db<sup>2</sup>2.10.1, KEGGREST<sup>1</sup>.2.0, MASS<sup>7</sup>.3-29, RColorBrewer<sup>1</sup>.0-5, RCurl<sup>1</sup>.95-4.1, Rgraphviz<sup>2</sup>2.6.0, XVector<sup>0</sup>.2.0, codetools<sup>0</sup>.2-8, colorspace<sup>1</sup>.2-4, dichromat<sup>2</sup>.0-0, digest<sup>0</sup>.6.3, evaluate<sup>0</sup>.5.1, formatR<sup>0</sup>.10, grid<sup>3</sup>.0.2, gtable<sup>0</sup>.1.2, highr<sup>0</sup>.3, httr<sup>0</sup>.2, igraph<sup>0</sup>.6.6, labeling<sup>0</sup>.2, munsell<sup>0</sup>.4.2, plyr<sup>1</sup>.8, png<sup>0</sup>.1-6, proto<sup>0</sup>.3-10, qvalue<sup>1</sup>.36.0, reshape2<sup>1</sup>.2.2, scales<sup>0</sup>.2.3, stats4<sup>3</sup>.0.2, stringr<sup>0</sup>.6.2, tcltk<sup>3</sup>.0.2, tools<sup>3</sup>.0.2

- [1] Guangchuang Yu, Fei Li, Yide Qin, Xiaochen Bo, Yibo Wu, and Shengqi Wang. Gosemsim: an r package for measuring semantic similarity among go terms and gene products. *Bioinformatics*, 26(7):976–978, 2010. PMID: 20179076.
- [2] Guangchuang Yu, Le-Gen Wang, Yanyan Han, and Qing-Yu He. clusterprofiler: an r package for comparing biological themes among gene clusters. *OMICS: A Journal of Integrative Biology*, 16(5):284–287, May 2012.
- [3] Elizabeth<sup>~</sup>I Boyle, Shuai Weng, Jeremy Gollub, Heng Jin, David Botstein, J<sup>~</sup>Michael Cherry, and Gavin Sherlock. GO::TermFinder–open source software for accessing gene ontology information and finding significantly enriched gene ontology terms associated with a list of genes. *Bioinformatics* (*Oxford, England*), 20(18):3710–3715, December 2004. PMID: 15297299.
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- [6] Guangchuang Yu and Qing-Yu He. Functional similarity analysis of human virus-encoded miRNAs. *Journal of Clinical Bioinformatics*, 1(1):15, May 2011.
- [7] Guangchuang Yu, Chuan-Le Xiao, Xiaochen Bo, Chun-Hua Lu, Yide Qin, Sheng Zhan, and Qing-Yu He. A new method for measuring functional similarity of microRNAs. *Journal of Integrated OMICS*, 1(1):49–54, February 2011.